

**DUPLICATE**

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**PATENT**

Attorney Docket No. 12665DIV1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: McGinniss, Vincent D. et al.

Serial No.: N/A

Group No.: N/A

Filed: Herewith

Examiner: N/A

For: ELECTROOPTIC COMPOUNDS AND METHODS FOR MAKING (as amended)

MS Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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Respectfully submitted,



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PTO/SB/08A (04-03)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	
Filing Date	06/20/2003
First Named Inventor	McGinniss et al.
Art Unit	
Examiner Name	
Attorney Docket Number	12665DIV1

Sheet 1 of 2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	US- 4,198,349	04/15/1980	Nuss et al.	
	A2	US- 4,323,675	04/06/1982	Eckes et al.	
	A3	US- 4,666,819	05/19/1987	Elmasry	
	A4	US- 5,064,264	11/12/1991	Ducharme et al.	
	A5	US- 5,120,876	06/09/1992	Cheng et al.	
	A6	US- 5,521,271	05/28/1996	Smith et al.	
	A7	US- 5,776,374	07/07/1998	Newsham et al.	
	A8	US- 5,783,120	07/21/1998	Ouderkirk et al.	
	A9	US- 6,001,958	12/14/1999	Tapolsky et al.	
	A10	US- 6,067,186	05/23/2000	Dalton et al.	
	A11	US- 6,084,702	07/04/2000	Byker et al.	
	A12	US- 6,348,992 B1	02/19/2002	Zhang et al.	
	A13	US- 6,393,190 B1	05/21/2002	He et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	A14	JP 06025120 A1	02/1994	Ricoh KK		

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<div style="float: left; width: 40%;"><p>Substitute for form 1449B/PTO</p><h2 style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2><p style="text-align: center;">(use as many sheets as necessary)</p></div> <div style="float: right; width: 60%; text-align: center;"><b>Complete if Known</b></div>			
Application Number			
Filing Date		06/20/2003	
First Named Inventor		McGinniss et al.	
Group Art Unit			
Examiner Name			
Attorney Docket Number		12665DIV1	
Sheet	2	of	2

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B1	BOSC et al.; DESIGN AND SYNTHESIS OF LOW REFRACTIVE INDEX POLYMERS FOR MODULATION IN OPTICAL WAVEGUIDES; Optical Materials Volume 13; 1999; pp. 205-209	
	B2	CHOLLETT et al.; ELECTRO-OPTIC COEFFICIENT DETERMINATION IN STRATIFIED ORGANIZED MOLECULAR THIN FILMS: APPLICATION TO POLED POLYMERS; Thin Solid Films 242; 1994; pp. 132-138	
	B3	HOLM, ROY T.; KETIMINES AS LATENT EPOXY CURING AGENTS; Journal of Paint Technology; Volume 39, No. 509; June 1967; pp. 385-388	
	B4	INOUE, K.; FUNCTIONAL DENDRIMERS, HYPERBRANCHED AND STAR POLYMERS; Progress in Polymer Science; Volume 25, No. 4; May 2000; pp. 453-571	
	B5	MARCATILI, E. A. J.; DIELECTRIC RECTANGULAR WAVEGUIDE AND DIRECTIONAL COUPLER FOR INTEGRATED OPTICS; The Bell System Technical Journal; Volume 48; September 1969; pp. 2071-2102	
	B6	TENG et al.; SIMPLE REFLECTION TECHNIQUE FOR MEASURING THE ELECTRO-OPTIC COEFFICIENT OF POLED POLYMERS; Appl. Phys. Lett.; Volume 56, No. 18; April 30, 1990; pp. 1734-1736	

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